

**DIMOCK STRATTON LLP***Intellectual Property Law***FAX**

DATE: April 04, 2007

TO:	FAX #:
The Commissioner for Patents	571-273-9443
FIRM:	PHONE #:
United States Patent & Trademark Office	

FROM: Mark B. Eisen

RE: U.S. Patent Application No. 10/596,973

OUR FILE: 1688-5/MBE

TOTAL PAGES SENT (including this page): 3

Confirmation of facsimile to follow: ☐ Yes ☒ No, unless requested

If you do not receive all the pages of this facsimile clearly,  
please call Pat McCormick at (416) 971-7202 Ext. 308

NOTES:

This fax may contain information which is confidential and privileged. If you are  
not the intended recipient of this fax, you are not permitted to make use of this fax.

**If you have received this in error, please notify the sender.**

20 Queen Street West, Suite 3202, Box 102, Toronto, Ontario Canada M5H 3R3

Tel: (416) 971-7202 Fax: (416) 971-6638

E-mail: firm@dimock.com • www.dimock.com



**DIMOCK STRATTON LLP**  
*Intellectual Property Law*

**MARK B. EISEN**  
Ext. 242  
mcisen@dimock.com

*Certified by the Law Society as a Specialist  
in Intellectual Property (Patent) Law*

**SENT BY FAX (571) 273-9443**

April 4, 2007

The Commissioner of Patents  
United States Patent & Trademark Office  
P.O. Box 1450  
Alexandria  
Virginia 22313  
U.S.A

Dear Sir/Madam:

**Re: U.S. Patent Application No. 10/596,973**  
**Title: UNDERWATER OPTICAL COMMUNICATIONS**  
**SYSTEM AND METHOD**  
**Applicant: Penguin Automated Systems Inc.**  
**Our File: 1688-5/MBE**

We attach a copy of the electronic acknowledgment receipt showing the receipt date for this National Phase Entry as June 30, 2007. However, to date, no filing receipt appears to have been issued.

Please forward the filing receipt for this application.

Yours very truly,  
**DIMOCK STRATTON LLP**

**MARK B. EISEN**

MBE:pm  
Encl.

20 Queen Street West, Suite 3202, Box 102, Toronto, Ontario Canada M5H 3R3  
Tel: 416.971.7202 Fax: 416.971.6638

E-mail: firm@dimock.com • www.dimock.com

**Electronic Acknowledgement Receipt**

<b>EFS ID:</b>	1099812
<b>Application Number:</b>	10596973
<b>Confirmation Number:</b>	3409
<b>Title of Invention:</b>	UNDERWATER OPTICAL COMMUNICATIONS SYSTEM AND METHOD
<b>First Named Inventor:</b>	Greg BAIDEN
<b>Customer Number:</b>	38735
<b>Filer:</b>	Mark Eisen
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	1688-5/MBE
<b>Receipt Date:</b>	30-JUN-2006
<b>Filing Date:</b>	
<b>Time Stamp:</b>	15:32:14
<b>Application Type:</b>	U.S. National Stage under 35 USC 371
<b>International Application Number:</b>	PCT/CA05/00027

**Payment information:**

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 500
RAM confirmation Number	122
Deposit Account	500663
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

**File Listing:**